UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

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GREGORY L. FLETCHER,

LEMOLI/LOMELI, et al.,

v.

Plaintiff,

CORRECTIONAL COUNSELOR I

Defendants.

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Case No. <u>18-cv-03350-YGR</u> (PR)

ORDER OF PARTIAL DISMISSAL; SERVING COGNIZABLE CLAIM; AND DENYING MOTION FOR APPOINTMENT OF COUNSEL

TORTHER DISTRICT OF CALLS OR ALL

Plaintiff, a state prisoner currently incarcerated at the Substance Abuse Treatment Facility ("SATF"), has filed the instant *pro se* civil rights action pursuant to 42 U.S.C. § 1983. He alleges violations of his constitutional rights during his incarceration at Salinas Valley State Prison ("SVSP"), where he was previously incarcerated. The time frame of the alleged violations is from May 22, 2017 through March 20, 2018, when Plaintiff was told he was going to be transferred to the SATF. Dkt. 1 at 1.¹

His motion for leave to proceed *in forma pauperis* will be granted in a separate Order. He has also filed a motion for appointment of counsel. Dkt. 2.

Venue is proper because the events giving rise to the claims are alleged to have occurred at SVSP, which is located in this judicial district. *See* 28 U.S.C. § 1391(b).

In his complaint, Plaintiff has named the following Defendants from SVSP: Warden; Correctional Counselor I ("CCI") Lemoli/Lomeli,² former CCI Garcia (who is currently at California Men's Colony); Captain Gonzalez; and Sergeant R. Gomez. He also names various Doe Defendants. He seeks monetary damages.

The Court now conducts its initial review of the complaint pursuant to 28 U.S.C. § 1915A.

¹ Page number citations refer to those assigned by the Court's electronic case management filing system and not those assigned by Plaintiff.

² Plaintiff uses both ways to spell the name of Defendant Lemoli/Lomeli, therefore the Court uses both spellings in this Order. *See* Dkt. 1 at 1-2, 9.

I. DISCUSSION

A. Standard of Review

A federal court must conduct a preliminary screening in any case in which a prisoner seeks redress from a governmental entity or officer or employee of a governmental entity. *See* 28 U.S.C. § 1915A(a). In its review, the court must identify any cognizable claims and dismiss any claims that are frivolous, malicious, fail to state a claim upon which relief may be granted or seek monetary relief from a defendant who is immune from such relief. *See id.* § 1915A(b)(1), (2). *Pro se* pleadings must, however, be liberally construed. *See Balistreri v. Pacifica Police Dep't*, 901 F.2d 696, 699 (9th Cir. 1988).

To state a claim under 42 U.S.C. § 1983, a plaintiff must allege two essential elements: (1) that a right secured by the Constitution or laws of the United States was violated, and (2) that the alleged violation was committed by a person acting under color of state law. *See West v. Atkins*, 487 U.S. 42, 48 (1988).

B. Legal Claims

Plaintiff's claims arise from Defendants' alleged deliberate indifference to his safety needs stemming from an incident on November 30, 2017 in which Plaintiff claims that he "had an attempt[ed] assassination made on his life" (apparently he suffered a blow to the head, which rendered him unconscious) even after Plaintiff requested that Defendants remove him from being housed SVSP because he claimed his life was in danger. Dkt. 1 at 9. Plaintiff claims that for the "next 6 1/2 to seven months [he] still suffer[red] from severe pain [and] head injurie[s], blurry vision in [his] right eye, severe headaches, . . . fear for his safety, anxiety and despo[n]dency." *Id.* at 10. Therefore, the Court finds that Plaintiff states a cognizable claim that Defendants Garcia, Lemoli/Lomeli, Gonzalez, and Gomez were deliberately indifferent to Plaintiff's safety needs.

As for Plaintiff's claim of retaliation, he has made only conclusory allegations, which do not amount to a cognizable claim of retaliation. *See* Dkt. 1 at 5, 8. To state a claim for First Amendment retaliation against a government official, a plaintiff must demonstrate that (1) he engaged in constitutionally protected activity; (2) as a result, he was subjected to adverse action by the defendant that would chill a person of ordinary firmness from continuing to engage in the

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protected activity; and (3) there was a substantial causal relationship between the constitutionally protected activity and the adverse action. *Mulligan v. Nichols*, 835 F.3d 983, 988 (9th Cir. 2016). Here, Plaintiff states in a conclusory fashion that "[o]n 6-11-17 a form CDCR 22 was sent to CCI Garcia stat[ing] that a retalia[tion] was again[st] [Plaintiff] and to please endorse [him] to his rightful level 2 SNY/E.O.P. Facility, but [his requests were] ignore[d]...." Dkt. 1 at 8. However, Plaintiff has not alleged facts sufficient to support any of the aforementioned elements of retaliation. Plaintiff's conclusory allegations of wrongdoing are insufficient to state a cognizable claim for relief. Plaintiff has failed to allege that he engaged in constitutionally-protected conduct, that prison staff took adverse action against him in retaliation for the protected conduct, and that he suffered harm as a result of the retaliation. Accordingly, Plaintiff's claim of retaliation is DISMISSED without prejudice.

Plaintiff also names the SVSP Warden in his complaint, but Plaintiff does not claim that this Defendant personally violated his constitutional rights. Rather, Plaintiff seems to contend that the SVSP Warden is liable based on the conduct of his subordinates—the Defendants named above. Respondeat superior liability is not available under section 1983. *See Taylor v. List*, 880 F.2d 1040, 1045 (9th Cir. 1989). Instead, Plaintiff must allege that the supervisory liability Defendant "participated in or directed the violations, or knew of the violations and failed to act to prevent them." *Id.* Here, no facts are alleged to establish supervisorial liability on the part of the SVSP "Warden." Accordingly, Plaintiff's supervisory liability claim against the SVSP Warden is DISMISSED without prejudice.

Finally, Plaintiff identifies various Doe Defendants whose names he intends to learn through discovery. Dkt. 1 at 3. The use of Doe Defendants is not favored in the Ninth Circuit. *See Gillespie v. Civiletti*, 629 F.2d 637, 642 (9th Cir. 1980). However, where the identity of alleged defendants cannot be known prior to the filing of a complaint the plaintiff should be given an opportunity through discovery to identify them. *Id.* Failure to afford the plaintiff such an opportunity is error. *See Wakefield v. Thompson*, 177 F.3d 1160, 1163 (9th Cir. 1999). Accordingly, the claims against these Doe Defendants are DISMISSED from this action without prejudice. Should Plaintiff learn these Doe Defendants' identities through discovery, he may

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move to file an amended complaint to add them as named defendants. See Brass v. County of Los Angeles, 328 F.3d 1192, 1195-98 (9th Cir. 2003).

C. **Motion for Appointment of Counsel**

As mentioned above, Plaintiff requests for appointment of counsel. Dkt. 2. His request is DENIED as premature. There is no constitutional right to counsel in a civil case unless an indigent litigant may lose his physical liberty if he loses the litigation. See Lassiter v. Dep't of Social Services, 452 U.S. 18, 25 (1981). The court may ask counsel to represent an indigent litigant under 28 U.S.C. § 1915 only in "exceptional circumstances," the determination of which requires an evaluation of both (1) the likelihood of success on the merits, and (2) the ability of the plaintiff to articulate his claims pro se in light of the complexity of the legal issues involved. See Terrell v. Brewer, 935 F.2d 1015, 1017 (9th Cir. 1991); Wilborn v. Escalderon, 789 F.2d 1328, 1331 (9th Cir. 1986). Both of these factors must be viewed together before reaching a decision on a request for counsel under section 1915. See id.

The Court is unable to assess at this time whether exceptional circumstances exist which would warrant seeking volunteer counsel to accept a pro bono appointment. The proceedings are at an early stage and it is premature for the Court to determine Plaintiff's likelihood of success on the merits. Moreover, Plaintiff has been able to articulate his claims adequately pro se in light of the complexity of the issues involved. See Agyeman v. Corrections Corp. of America, 390 F.3d 1101, 1103 (9th Cir. 2004).

II. **CONCLUSION**

For the foregoing reasons, the Court orders as follows:

- 1. Plaintiff states a cognizable Eighth Amendment claim against Defendants Garcia, Lemoli/Lomeli, Gonzalez, and Gomez.
 - 2. Plaintiff's retaliation claim is DISMISSED without prejudice.
- 3. Plaintiff's supervisory liability claim against the SVSP Warden is DISMISSED without prejudice.
 - 4. The claims against the Doe Defendants are DISMISSED WITHOUT PREJUDICE.
 - 5. The Clerk of the Court shall mail a Notice of Lawsuit and Request for Waiver of

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Service of Summons, two copies of the Waiver of Service of Summons, a copy of the complaint (dkt. 1) and all attachments thereto and a copy of this Order to the following to former SVSP Correctional Counselor I Garcia (who is currently at California Men's Colony) and to the following at SVSP: Correctional Counselor I Lemoli/Lomeli; Captain Gonzalez; and **Sergeant R. Gomez.** The Clerk of the Court shall also mail a copy of the complaint and a copy of this Order to the California State Attorney General's Office. Additionally, the Clerk shall mail a copy of this Order to Plaintiff.

6. Defendants are cautioned that Rule 4 of the Federal Rules of Civil Procedure requires them to cooperate in saving unnecessary costs of service of the summons and complaint. Pursuant to Rule 4, if Defendants, after being notified of this action and asked by the Court, on behalf of Plaintiff, to waive service of the summons, fail to do so, they will be required to bear the cost of such service unless good cause be shown for their failure to sign and return the waiver form. If service is waived, this action will proceed as if Defendants had been served on the date that the waiver is filed, except that pursuant to Rule 12(a)(1)(B), Defendants will not be required to serve and file an answer before sixty (60) days from the date on which the request for waiver was sent. (This allows a longer time to respond than would be required if formal service of summons is necessary.)

Defendants are asked to read the statement set forth at the foot of the waiver form that more completely describes the duties of the parties with regard to waiver of service of the summons. If service is waived after the date provided in the Notice but before Defendants have been personally served, the Answer shall be due sixty (60) days from the date on which the request for waiver was sent or twenty (20) days from the date the waiver form is filed, whichever is later.

- 7. Defendants shall answer the complaint in accordance with the Federal Rules of Civil Procedure. The following briefing schedule shall govern dispositive motions in this action:
- No later than ninety (90) days from the date their answer is due, Defendants a. shall file a motion for summary judgment or other dispositive motion. The motion shall be supported by adequate factual documentation and shall conform in all respects to Federal Rule of

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Civil Procedure 56. If Defendants are of the opinion that this case cannot be resolved by summary judgment, they shall so inform the Court prior to the date the summary judgment motion is due. All papers filed with the Court shall be promptly served on Plaintiff.

b. Plaintiff's opposition to the dispositive motion shall be filed with the Court and served on Defendants no later than sixty (60) days after the date on which Defendants' motion is filed. The Ninth Circuit has held that the following notice should be given to pro se plaintiffs facing a summary judgment motion:

> The defendant has made a motion for summary judgment by which they seek to have your case dismissed. A motion for summary judgment under Rule 56 of the Federal Rules of Civil Procedure will, if granted, end your case.

> Rule 56 tells you what you must do in order to oppose a motion for summary judgment. Generally, summary judgment must be granted when there is no genuine issue of material fact -- that is, if there is no real dispute about any fact that would affect the result of your case, the party who asked for summary judgment is entitled to judgment as a matter of law, which will end your case. When a party you are suing makes a motion for summary judgment that is properly supported by declarations (or other sworn testimony), you cannot simply rely on what your complaint says. Instead, you must set out specific facts in declarations, depositions, answers to interrogatories, or authenticated documents, as provided in Rule 56(e), that contradict the facts shown in the defendant's declarations and documents and show that there is a genuine issue of material fact for trial. If you do not submit your own evidence in opposition, summary judgment, if appropriate, may be entered against you. If summary judgment is granted [in favor of the defendants], your case will be dismissed and there will be no trial.

See Rand v. Rowland, 154 F.3d 952, 962-63 (9th Cir. 1998) (en banc).

Plaintiff is advised to read Rule 56 of the Federal Rules of Civil Procedure and Celotex Corp. v. Catrett, 477 U.S. 317 (1986) (party opposing summary judgment must come forward with evidence showing triable issues of material fact on every essential element of his claim). Plaintiff is cautioned that because he bears the burden of proving his allegations in this case, he must be prepared to produce evidence in support of those allegations when he files his opposition to Defendants' dispositive motion. Such evidence may include sworn declarations from himself and other witnesses to the incident, and copies of documents authenticated by sworn declaration. Plaintiff will not be able to avoid summary judgment simply by repeating the allegations of his complaint.

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- c. Defendants shall file a reply brief no later than thirty (30) days after the date Plaintiff's opposition is filed.
- d. The motion shall be deemed submitted as of the date the reply brief is due. No hearing will be held on the motion unless the Court so orders at a later date.
- 8. Discovery may be taken in this action in accordance with the Federal Rules of Civil Procedure. Leave of the Court pursuant to Rule 30(a)(2) is hereby granted to Defendants to depose Plaintiff and any other necessary witnesses confined in prison.
- 9. All communications by Plaintiff with the Court must be served on Defendants, or Defendants' counsel once counsel has been designated, by mailing a true copy of the document to Defendants or Defendants' counsel.
- 10. It is Plaintiff's responsibility to prosecute this case. Plaintiff must keep the Court informed of any change of address and must comply with the Court's orders in a timely fashion. Pursuant to Northern District Local Rule 3-11 a party proceeding pro se whose address changes while an action is pending must promptly file a notice of change of address specifying the new address. See L.R. 3-11(a). The Court may dismiss without prejudice a complaint when: (1) mail directed to the pro se party by the Court has been returned to the Court as not deliverable, and (2) the Court fails to receive within sixty days of this return a written communication from the *pro* se party indicating a current address. See L.R. 3-11(b).
- 11. Upon a showing of good cause, requests for a reasonable extension of time will be granted provided they are filed on or before the deadline they seek to extend.
 - 12. Plaintiff's motion for appointment of counsel is DENIED as premature. Dkt. 2.
 - 13. This Order terminates Docket no. 2.

IT IS SO ORDERED.

Dated: January 16, 2019

United States District Judge